

**ARL-TR-7231 ● Mar 2015** 



# Reformulation of Nonlinear Anisotropic Crystal Elastoplasticity for Impact Physics

by JD Clayton

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by JD Clayton
Weapons and Materials Research Directorate, ARL

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1. REPORT DATE (	DD-MM-YYYY)	2. REPORT TYPE			3. DATES COVERED (From - To)			
March 2015		DRI			October 2012–February 2015			
4. TITLE AND SUBT	TITLE				5a. CONTRACT NUMBER			
Reformulation	of Nonlinear Anis	sotropic Crystal Ela	stoplasticity for	[mpact				
Physics					5b. GRANT NUMBER			
					5c. PROGRAM ELEMENT NUMBER			
6. AUTHOR(S)					5d. PROJECT NUMBER			
JD Clayton					DRI13-WMR-019			
					5e. TASK NUMBER			
					5f. WORK UNIT NUMBER			
7. PERFORMING C	RGANIZATION NAME	(S) AND ADDRESS(ES)			8. PERFORMING ORGANIZATION REPORT NUMBER			
US Army Rese ATTN: RDRL	arch Laboratory -WMP-C				ARL-TR-7231			
Aberdeen Prov	ing Ground, MD	21005-5066						
9. SPONSORING/N	ONITORING AGENCY	' NAME(S) AND ADDRES	SS(ES)		10. SPONSOR/MONITOR'S ACRONYM(S)			
					11. SPONSOR/MONITOR'S REPORT NUMBER(S)			
12. DISTRIBUTION	/AVAILABILITY STATE	MENT						
Approved for p	oublic release; dist	ribution unlimited						
13. SUPPLEMENTA	ARY NOTES							
14. ABSTRACT								
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15. SUBJECT TERM	IS							
elasticity, plast	icity, shock physic	cs, ceramics, metals	S					
16. SECURITY CLAS	SSIFICATION OF:		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON  JD Clayton			
a. REPORT	b. ABSTRACT	c. THIS PAGE	UU	22	19b. TELEPHONE NUMBER (Include area code)			
	Unclassified	Unclassified		22	410-278-6146			

Standard Form 298 (Rev. 8/98) Prescribed by ANSI Std. Z39.18

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#### 1. Introduction

Nonlinear hyperelasticity addresses the thermodynamically reversible response of solids subjected to large deformation; classes of crystalline materials of present interest include metals, ceramics, minerals, and energetic materials. Accurate, efficient, stable, and thermodynamically consistent models for nonlinear anisotropic elasticity are required for proper mesoscale modeling of crystalline solids subjected to impact or ballistic loading. At a material element with reference coordinates X and spatial coordinates x, the deformation gradient F and volume ratio J are

$$F(X) = \partial x/\partial X$$
,  $F_{ij}(X_K) = \partial x_i/\partial X_J = \delta_{ij} + \partial u_i/\partial X_J$ ;  $J(X) = V/V_0 = \det F$ , (1)

where u is the particle displacement vector. For an elastic-plastic material, where "plastic" refers to any thermodynamically irreversible mechanism such as dislocation glide, deformation twinning, fracture, or pore collapse, the total deformation gradient is typically split into a product of a thermoelastic term (superscript E) and a plastic term (superscript P):

$$F = F^E F^P$$
,  $F_{iJ} = F_{iK}^E F_{KJ}^P$ ;  $J = J^E J^P = \det F^E \det F^P$ . (2)

Usual Lagrangian formulations of nonlinear elasticity for crystals<sup>1,2</sup> incorporate the elastic Green material strain tensor

$$E = \frac{1}{2} (F^{ET} F^{E} - 1), \qquad E_{IJ} = \frac{1}{2} (F_{kI}^{E} F_{kJ}^{E} - \delta_{IJ}).$$
 (3)

Also considered herein are theories incorporating the elastic Eulerian material strain tensor

$$D = \frac{1}{2} (\mathbf{1} - \mathbf{F}^{E-1} \mathbf{F}^{E-T}), \qquad D_{IJ} = \frac{1}{2} (\delta_{IJ} - F_{Ik}^{E-1} F_{Jk}^{E-1}), \qquad (4)$$

and the elastic material logarithmic strain tensor

$$e = \ln U^{E} = \frac{1}{2} \ln(\mathbf{F}^{ET} \mathbf{F}^{E}), \qquad e_{II} = \frac{1}{2} \ln(\mathbf{F}^{ET} \mathbf{F}^{E})_{II}.$$
 (5)

Elastic constant tensors of all orders have the same symmetries, though magnitudes of higher-order constants differ among the 3 representations. At fixed entropy, assuming a stress-free reference configuration, and written explicitly with elastic constants up to fourth order, internal energy functions per unit reference volume are expressed as the Taylor polynomials

$$\overline{U}(\mathbf{E}) = \frac{1}{2!} \mathbf{C}_{\alpha\beta} E_{\alpha} E_{\beta} + \frac{1}{3!} \overline{\mathbf{C}}_{\alpha\beta\gamma} E_{\alpha} E_{\beta} E_{\gamma} + \frac{1}{4!} \overline{\mathbf{C}}_{\alpha\beta\gamma\delta} E_{\alpha} E_{\beta} E_{\gamma} E_{\delta} + \cdots, \tag{6}$$

$$\hat{U}(\mathbf{D}) = \frac{1}{2!} \mathbf{C}_{\alpha\beta} D_{\alpha} D_{\beta} + \frac{1}{3!} \hat{\mathbf{C}}_{\alpha\beta\gamma} D_{\alpha} D_{\beta} D_{\gamma} + \frac{1}{4!} \hat{\mathbf{C}}_{\alpha\beta\gamma\delta} D_{\alpha} D_{\beta} D_{\gamma} D_{\delta} + \cdots, \tag{7}$$

$$\widetilde{U}(\boldsymbol{e}) = \frac{1}{2!} \mathbf{C}_{\alpha\beta} e_{\alpha} e_{\beta} + \frac{1}{3!} \widetilde{\mathbf{C}}_{\alpha\beta\gamma} e_{\alpha} e_{\beta} e_{\gamma} + \frac{1}{4!} \widetilde{\mathbf{C}}_{\alpha\beta\gamma\delta} e_{\alpha} e_{\beta} e_{\gamma} e_{\delta} + \cdots \tag{8}$$

Greek indices denote Voigt notation. Consistent relationships among second-(equal), third-, and higher-order elastic constants have been derived elsewhere,  $^{3-6}$  allowing values for Lagrangian constants entering Eq. 6 to be converted to those in Eqs. 7 and 8 without further experiments. Axial components of strain tensors are compared for spherical and uniaxial deformations in Fig. 1. The magnitude of the axial component of D increases much more rapidly than that of E under compression, with e demonstrating trends intermediate to the other strains. Internal energy, stress/pressure, and stiffness of strong solids all tend to increase rapidly with compression. Therefore, Eulerian and logarithmic theories would be expected to converge faster, with fewer higher-order elastic constants needed, than Lagrangian theory.

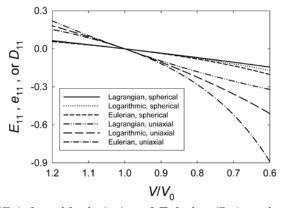


Fig. 1 Lagrangian  $(E_{11})$ , logarithmic  $(e_{11})$ , and Eulerian  $(D_{11})$  strains under spherical and uniaxial deformation

Benefits of Eulerian strain tensors for isotropic materials were predicted<sup>8</sup> and demonstrated for cubic crystals under hydrostatic stress.<sup>9</sup> Thermal effects were considered in Davies<sup>10</sup> for cubic crystals, and a theory for noncubic crystals was initiated in Weaver.<sup>11</sup> A complete *D*-based continuum thermoelastic theory for large deformation of crystals of arbitrary symmetry was developed in Clayton.<sup>3</sup> Analytical solutions for homogeneous deformations of ideal cubic crystals were studied over a prescribed range of elastic coefficients; stress states and intrinsic stability measures were compared. For realistic coefficients, Eulerian theory predicted more realistic and stable behavior than Lagrangian theory under large compression and shear. Analytical solutions for shock compression of anisotropic single crystals were derived for internal energy functions quartic in Lagrangian or Eulerian strain and linear in entropy; results were analyzed for nonmetals quartz, sapphire, and diamond in Clayton<sup>3</sup> and metals aluminum, copper, and magnesium

in Clayton.<sup>4</sup> A complete *e*-based continuum thermoelastic theory was analogously developed in Clayton<sup>5</sup> and applied to study the shock response of the same 3 nonmetals. The theory was extended to describe elastic-plastic response using the decomposition of Eq. 2, and solutions for plastic shocks (involving slip, twinning, or shear fractures) following an elastic precursor in rate independent solids were derived.<sup>5</sup> Logarithmic theory delivered superior accuracy to Lagrangian and Eulerian theories for modeling shocks in sapphire (X- and Z-cut), quartz (Z-cut), and diamond (X-cut).<sup>5</sup> Logarithmic theory incorporating third-order elastic constants was also applied to analytically model the elastic-inelastic response of polycrystalline titanium diboride ceramic,<sup>12</sup> including double yield and effects of static lateral prestress. Eulerian theory was recently used to numerically model the viscoplastic response of aluminum single crystals and textured polycrystals in wave propagation simulated using the finite difference method,<sup>13</sup> wherein Lagrangian theory was found insufficient for modeling strong/overdriven elastic-plastic shocks.

### 2. Approach

New application of logarithmic strain-based theory (*e*-based theory) to shock compression of metals is presented here. Predictions are thermoelastic and strictly applicable only for very small volumes, such as in atomic simulations<sup>14</sup> or in the immediate vicinity of pinned defect cores,<sup>15</sup> wherein plastic deformation does not occur. Solutions to the planar thermoelastic shock problem in anisotropic crystals were derived fully for Lagrangian and Eulerian theory in Clayton<sup>3</sup> and for logarithmic theory in Clayton.<sup>5</sup> The procedure involves simultaneous solution of Rankine-Hugoniot equations for compatibility and conservation of momentum and energy, along with consideration of internal energy functions (Eqs. 6, 7, or 8) extended to include entropy (Grunëisen tensors). Axial shock stress *P* (positive in compression) is

$$P = -J \partial \overline{U} / \partial E = -J \left[ \mathbf{C}_{11} E + \frac{1}{2} \overline{\mathbf{C}}_{111} E^2 + \frac{1}{6} \overline{\mathbf{C}}_{111} E^3 + \mathcal{O}(E^3) \right] \quad \text{(Lagrangian)}, \tag{9}$$

$$P = -J^{-3} \partial \hat{U} / \partial D = -J^{-3} [C_{11}D + \frac{1}{2}\hat{C}_{111}D^2 + \frac{1}{6}\hat{C}_{1111}D^3 + O(D^3)] \quad \text{(Eulerian)}, \tag{10}$$

$$P = -J^{-1} \partial \vec{U} / \partial e = -J^{-1} [C_{11} e + \frac{1}{2} \vec{C}_{111} e^2 + \frac{1}{6} \vec{C}_{1111} e^3 + O(e^3)] \quad \text{(Logarithmic)}, \tag{11}$$

where entropic contributions are of order 3 in scalar strain measures for uniaxial strain:

$$J = (1 + 2E)^{1/2} = (1 - 2D)^{-1/2} = \exp(e).$$
 (12)

In laboratory-scale specimens, yielding would commence in pure ductile metals at small compressions at which effects of higher-order constants and differences among Eqs. 9–11 would be negligible. However, nonlinear elastic effects on deviatoric stress may be important at larger compressions after yielding, particularly for lower symmetry materials with restricted slip planes/directions,<sup>4</sup> and the nonlinearity in pressure-volume response is important regardless of shear strength. When strength is low and can safely be omitted, the response of metals to moderate shocks can often be adequately approximated ( $p \approx P$ ) by an adiabatic pressure-volume (p-J) equation of state (EOS) for spherical compression. The EOS corresponding to each of Eqs. 6–8 truncated at order 3 in strain is<sup>3–5</sup>

$$p = -\partial \overline{U}/\partial J = \frac{3}{2}\mathsf{B}_{0}(J^{-1/3} - J^{1/3})[1 - \frac{3}{4}\mathsf{B}'_{0}(J^{2/3} - 1)] \qquad \text{(Lagrangian),} (13)$$

$$p = -\partial \widehat{U}/\partial J = \frac{3}{2}\mathsf{B}_{0}(J^{-7/3} - J^{-5/3})[1 + \frac{3}{4}(\mathsf{B}'_{0} - 4)(J^{-2/3} - 1)] \qquad \text{(Eulerian),} (14)$$

$$p = -\partial \overline{U}/\partial J = -\mathsf{B}_{0}[(\ln J)/J][1 - \frac{1}{2}(\mathsf{B}'_{0} - 2)\ln J] \qquad \text{(Logarithmic),} (15)$$

where  $B_0$  is the isentropic bulk modulus and  $B_0'$  is its pressure derivative in the reference state.

#### 3. Results

Properties for aluminum (Al), copper (Cu), and magnesium (Mg) are reported in Table 1.4 Predictions for shock stress versus volume ratio are made using the analytical solutions of Eqs. 9–11, considering only pure mode directions (strictly longitudinal response). Shock stress P normalized by second-order isentropic elastic constant C<sub>11</sub> is shown in Fig. 2a for Al, Fig. 2b for Cu, Fig. 2c for Mg [a-axis] and Fig. 2d for Mg [c-axis]. Higher-order elastic constants are converted from measured/predicted Lagrangian constants using formulae derived or presented in Clayton<sup>3-5</sup> and Perrin and Delannoy-Coutris.<sup>6</sup> Elastic constants of up to order 4 are considered in results labeled "4th order". Results labeled "3rd order" and "2nd order" are obtained, respectively, by setting fourth-order and both third- and fourth-order elastic constants to zero. Results in Fig. 2 compare logarithmic and Eulerian theories; plots comparing Lagrangian and Eulerian theories can be found in Clayton.<sup>4</sup> All longitudinal higher-order elastic constants (i.e., all third- and fourth-order constants) are smaller in magnitude for Eulerian and logarithmic theory than Lagrangian theory for these metals (Table 1). Stress predictions of second- and third-order models are usually closer to those of fourth-order theory for Eulerian and logarithmic theory than Lagrangian theory. Tables 2 and 3 list relative error (%) of second- and third-order predictions

relative to fourth-order predictions, computed as  $2\times$  (second- or third-order result – fourth-order result)/(second- or third-order result + fourth-order result). For each crystal type, such errors are almost always smaller in magnitude for Eulerian theory (Eul2, Eul3) and logarithmic theory (Log2, Log3) than for Lagrangian theory (Lag2, Lag3) at a given volume ratio and order of approximation. Together, these observations imply a faster converging series in Eqs. 7 or 8 than in Eq. 6, as the number of higher-order constants is increased and greater accuracy of Eulerian or logarithmic theory than Lagrangian when the same number of constants (i.e., the same order of Taylor polynomial) is used. Eulerian and logarithmic theories trend toward comparable accuracy with one theory or the other possibly more accurate for a given metal or order of approximation. For Al, as shown in Fig. 2a, the analytical solution incorporating fourth-order Eulerian theory best matches atomic predictions:  $^{14}$  at J=0.923, error in fourth-order Eulerian theory versus atomic simulation is -1.4%, compared to -7.4% for fourth-order Lagrangian theory and -2.2% for fourth-order logarithmic theory.

Table 1 Single crystal and polycrystal properties  $^4$  ( $\theta_0 = 295$  K;  $C_{\alpha\beta}$  in GPa;  $\rho_0$  in g/cm<sup>3</sup>)

Property	Al [100]	Cu [100]	Mg [a-axis	]Mg [c-axis]
C <sub>11</sub>	107	166	59.4	61.6
$\overline{C}_{\scriptscriptstyle{111}}$	-1,080	-1,279	-664	-728
Ĉ <sub>111</sub>	203	715	49	12
$reve{C}_{111}$	-438	-283	-308	-358
$\overline{C}_{1111}$	25,000	11,900	8,170	7,380
Ĉ <sub>1111</sub>	10,500	2,000	1,220	893
$reve{C}_{1111}$	15,036	1,200	1,865	369
$\Gamma_{\scriptscriptstyle 1}$	2.17	1.97	1.52	1.52
$B_{\scriptscriptstyle{0}}$	76	137	35.4	35.4
$B_0'$	4.42	5.48	3.90	3.90
$ ho_0$	2.70	8.96	1.74	1.74

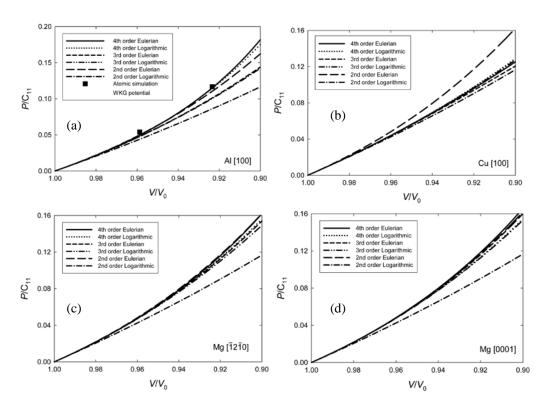


Fig. 2 Analytical anisotropic thermoelastic solutions for axial stress in (a) shocked Al single crystal compared with atomic simulation data, <sup>16</sup> (b) shocked Cu single crystal, (c) shocked Mg single crystal along a-axis, and (d) shocked Mg single crystal along c-axis

Table 2 Relative error (%) in shock stress *P* predicted by third-order (Lag3, Log3, Eul3) and second-order (Lag2, Log2, Eul2) theories: Al and Cu

17/17	Aluminum [100]							Copper [100]					
$V/V_0$	Lag3	Log3	Eul3	Lag2	Log2	Eul2	Lag3	Log3	Eul3	Lag2	Log2	Eul2	
0.96	-5.0	-3.8	-3.1	-23.1	-11.9	+1.1	-1.6	-0.2	-0.4	-15.8	-3.7	+9.3	
0.92	-15.7	-13.9	-14.1	-48.2	-29.8	-5.0	-5.4	-0.8	-2.1	-31.6	-7.8	+19.9	
0.88	-28.1	-28.2	-34.5	-71.2	-51.0	-19.8	-10.4	-1.8	-6.2	-46.5	-12.4	+31.5	

Table 3 Relative error (%) in shock stress *P* predicted by third-order (Lag3, Log3, Eul3) and second-order (Lag2, Log2, Eul2) theories: Mg

¥7/ <b>¥</b> 7	Magnesium [a-axis]						Magnesium [c-axis]					
$V/V_0$	Lag3	Log3	Eul3	Lag2	Log2	Eul2	Lag3	Log3	Eul3	Lag2	Log2	Eul2
0.96	-2.9	-0.8	-0.6	-22.8	-10.9	1.2	-2.5	-0.2	-0.4	-23.4	-11.0	-0.0
0.92	-9.2	-3.0	-2.9	-44.7	-22.7	1.0	-7.9	-0.6	-2.0	-45.1	-22.5	-1.2
0.88	-16.8	-6.3	-7.6	-64.3	-35.0	-1.4	-14.5	-1.2	-5.2	-64.2	-33.0	-3.8

Predictions of each third-order EOS in Eqs. 13–15 are compared with shock compression data<sup>16</sup> for Al in Fig. 3a, Cu in Fig. 3b, and Mg in Fig. 3c. Compressibility properties of Table 1 used in these predictions are obtained from ultrasonic experiments<sup>17</sup> and are not fit to the shock data. In each case, Eulerian theory is most accurate, followed by logarithmic theory and then Lagrangian theory, the latter significantly too compliant. Because Eulerian theory appears superior for modeling spherical compression and at least equally valid as logarithmic theory for modeling uniaxial compression, Eulerian theory is preferred overall for representing the shock response of metals. Future work should consider incorporating Eulerian theory in finite element simulations of the high-rate response of metals, offering potential improvement over prior implementations involving Lagrangian theory. 18-20 Eulerian EOS (Eq. 14) is equivalent to the Birch-Murnaghan EOS. However, recent work found that logarithmic theory, while more computationally cumbersome than Eulerian theory, more accurately captures the shock response of ceramic crystals sapphire  $(\alpha-Al_2O_3)$ , diamond (C), and quartz  $(\alpha-SiO_2)$ . As shown in Table 4, these nonmetals have a large ratio of effective shear  $(G_0)$  to bulk modulus compared to metals.

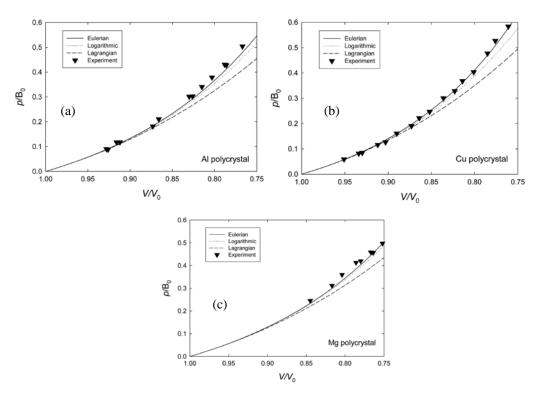


Fig. 3 Analytical pressure solutions for polycrystalline (a) aluminum, (b) copper, and (c) magnesium in the hydrodynamic limit compared with experimental data $^{16}$ 

Table 4 Ratio of ambient Voigt shear modulus to bulk modulus

Ratio	Cu	Al	Mg	α-Al <sub>2</sub> O <sub>3</sub>	C	α-SiO <sub>2</sub>
$G_0/B_0$	0.34	0.35	0.53	0.65	1.22	1.27

### 4. Conclusions

Table 5 summarizes loading conditions, materials, and model performance studied in the present work as well as in Clayton.<sup>3–5</sup> Eulerian nonlinear theory developed in Clayton<sup>3,4</sup> is recommended for modeling the response of ductile metals, while logarithmic theory developed in Clayton<sup>5</sup> can be recommended for modeling ceramics and minerals with larger ratios of shear to bulk stiffness.

Table 5 Summary of present and prior research results: finite strain model evaluations

<b>Loading Protocol</b>	Material	Recommended Theory	Remarks	Ref.
Hydrostatic compression	Ideal cubic, $B'_0 = 4$	Eulerian	More accurate <i>p-V</i> response	3
Uniaxial compression	Ideal cubic, $B'_0 = 4$	Eulerian	More accurate and stable	3
Simple shear	Ideal cubic, $B'_0 = 4$	Eulerian	More accurate and stable	3
	Sapphire		More accurate overall	5
	Diamond	Logarithmic	More accurate overall	5
Shock compression	α-Quartz		More accurate for Z-cut	3, 5
Shock compression	Aluminum		Best fit to atomic data	4 <sup>a</sup>
	Copper	Eulerian	Faster convergence	4 <sup>a</sup>
	Magnesium		Faster convergence	4 <sup>a</sup>

<sup>&</sup>lt;sup>a</sup> Present work

#### 5. Transitions

Results of the current work are of high interest to modeling communities within the Department of Defense, Department of Energy, and the Materials in Extreme Dynamic Environments Collaborative Research Alliance. Results have been transitioned via publications.<sup>3–5,12,13,15</sup> A plan is underway to implement the model into multiscale simulations of armor and munitions at the US Army Research Laboratory. Specifically, developments from this Director's Research Initiative (DRI) project are expected to offer substantial improvements over prior analytical and computational studies of the finite strain response of metals, <sup>18–26</sup> ceramics, <sup>27–33</sup> concrete and geologic materials, <sup>34,35</sup> and energetic molecular crystals. <sup>36</sup> The nonlinear elastic theory can be directly implemented into phase-field simulations of microstructure. <sup>37–41</sup> New developments in studies of lattice defects in electronic materials <sup>42–44</sup> and generic crystalline solids <sup>45–55</sup> are also foreseen. Results of Year 1 of this DRI project were reported in Clayton. <sup>56</sup>

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